

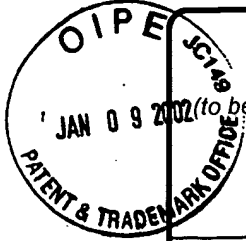
2181

PTO/SB/21 (12/97)

Approved for use through 9/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

| | |
|--|------------------|
| Application No. | 09/817,659 |
| Filing Date | March 26, 2001 |
| First Named Inventor | Shekhar Y Borkar |
| Group Art Unit | unassigned |
| Examiner Name | unassigned |
| Attorney Docket Number | 42390P10820 |
| Total Number of Pages in This Submission | 8 |

ENCLOSURES (check all that apply)

| | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"> Return Postcard <div style="text-align: right; font-weight: bold; font-size: 1.2em;">RECEIVED</div> <div style="text-align: right;">JAN 16 2002</div> <div style="text-align: right;">Technology Center 2100</div> </div> |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|---|
| Firm or Individual name | Kerry D. Tweet, Reg. No. 45,959 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature | |
| Date | November 8, 2001 |

CERTIFICATE OF MAILING/TRANSMISSION

| | | | |
|--|---------------|------|------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: November 8, 2001 | | | |
| Typed or printed name | Angie C. Farr | | |
| Signature | | Date | November 8, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

lease type a plus sign (+) inside this box → +

PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$)

Application No. 09/817,659
Filing Date March 26, 2001
First Named Inventor Shekhar Y Borkar
Examiner Name unassigned
Group/Art Unit unassigned
Attorney Docket Number 42390P10820

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to credit any overpayments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☐ Applicant claims small entity status. See 37 CFR 1.27.

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|------------------------|----------|
| 101 | 201 | 740 | 370 | 201 | 370 | Utility filing fee | |
| 106 | 206 | 330 | 165 | 206 | 165 | Design filing fee | |
| 107 | 207 | 510 | 255 | 207 | 255 | Plant filing fee | |
| 108 | 208 | 740 | 370 | 208 | 370 | Reissue filing fee | |
| 114 | 214 | 160 | 80 | 214 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|---|----------|
| 103 | 203 | 18 | 9 | 203 | 9 | Claims in excess of 20 | |
| 102 | 202 | 84 | 42 | 202 | 42 | Independent claims in excess of 3 | |
| 104 | 204 | 260 | 140 | 204 | 140 | Multiple Dependent claim, if not paid | |
| 109 | 209 | 84 | 42 | 209 | 42 | **Reissue independent claims over original patent | |
| 110 | 210 | 18 | 9 | 210 | 9 | **Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|--|----------|
| 105 | 205 | 130 | 65 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 227 | 50 | 25 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 239 | 130 | 130 | 239 | 130 | Non-English specification | |
| 147 | 247 | 2,520 | 2,520 | 247 | 2,520 | For filing a request for reexamination | |
| 112 | 212 | 920* | 920* | 212 | 920* | *Requesting publication of SIR prior to Examiner action | |
| 113 | 213 | 1,840* | 1,840* | 213 | 1,840* | *Requesting publication of SIR after Examiner action | |
| 115 | 215 | 110 | 55 | 215 | 55 | Extension for response within first month | |
| 116 | 216 | 400 | 200 | 216 | 200 | Extension for response within second month | |
| 117 | 217 | 920 | 460 | 217 | 460 | Extension for response within third month | |
| 118 | 218 | 1,440 | 720 | 218 | 720 | Extension for response within fourth month | |
| 128 | 228 | 1,960 | 980 | 228 | 980 | Extension for response within fifth month | |
| 119 | 219 | 310 | 155 | 219 | 155 | Notice of Appeal | |
| 120 | 220 | 310 | 155 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 221 | 270 | 135 | 221 | 135 | Request for oral hearing | |
| 138 | 238 | 1,510 | 1,510 | 238 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 240 | 110 | 55 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 241 | 1,240 | 620 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 242 | 1,280 | 640 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 243 | 460 | 230 | 243 | 230 | Design issue fee | |
| 144 | 244 | 620 | 310 | 244 | 310 | Plant issue fee | |
| 122 | 222 | 130 | 130 | 222 | 130 | Petitions to the Commissioner | |
| 123 | 223 | 130 | 130 | 223 | 130 | Petitions related to provisional applications | |
| 126 | 226 | 180 | 180 | 226 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 281 | 40 | 40 | 281 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 246 | 710 | 355 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 249 | 710 | 355 | 249 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 279 | 740 | 370 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 269 | 900 | 900 | 269 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____
Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Kerry D. Tweet Registration No. 45,959 Telephone (503) 684-6200
Signature [Signature] Date 11/08/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED